

Covert airflow obstruction dominates the overt ones in interstitial lung disease: An appraisal

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Background & objectives: The co-presence of non-emphysematous airflow obstruction in interstitial Lung disease (ILD) is not elaborated. The present study aims the job with spirometry.

Methods: ILD affected individuals with or without airflow obstruction (FEV1/FVC<0.7 or >0.7) on spirometry were compared in terms of FEV1 and FEF²⁵⁻⁷⁵ derived variables [FEF²⁵⁻⁷⁵ (%-predicted), FEV1-FEF²⁵⁻⁷⁵ distance, reversibility of FEV1 and FEF²⁵⁻⁷⁵ to salbutamol and change in FEV1 and FEF²⁵⁻⁷⁵ in %-predicted values]. Those showing significant difference (P=0.0001) suggesting obstruction were selected to draw respective receiver operating curve (ROC) curves to identify the best cut-off value for individual parameters. The efficacy of each surrogate was tested to identify airflow obstruction in both the initial 'overlap' as well as the 'unmixed' ILD affected individual for the presence of airflow obstruction.

Results: FEV1/FVC identified 30 overlap from 235 ILDs. The FEF²⁵⁻⁷⁵ (%-predicted), FEV1-FEF²⁵⁻⁷⁵ distance, FEF²⁵⁻⁷⁵ reversibility (in ml) and FEV1 (%-predicted) were significantly (P<0.0001) different between the two groups. Of these, the FEF²⁵⁻⁷⁵ (%-predicted) had high specificity and sensitivity (93.33 and 79.47%) to identify airflow limitation in the initial unmixed ILD-group. The surrogates with their cut off values identified 92 extra individuals making it 122/235 (51.91%) of ILD having airflow obstruction. The 'unmixed' group showed higher frequency and degree of FEV1 reversibility.

Interpretation & conclusions: The findings of this study suggest that the airflow obstruction in ILD involves both the intrathoracic large and small airways. Although seemingly parallel, their relative status (qualitative and quantitative) needs research especially in light of the a etio pathology and the extent of involvement of ILD.

Key words Airflow obstruction - FEF_{25-75} - FEV1- FEF_{25-75} distance - interstitial lung disease - obstructive airway disease - small airway disease

Bronchial involvement in interstitial lung disease (ILD) is well known, but its functional consequences are seldom discussed. ILD encompasses a diffuse profibrotic or fibrotic physiology of lungs derived from more than 200 aetiologies where the bronchial involvement is essentially marked by traction bronchiectasis and

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bronchiolectasis¹. Such traction bronchiectasis is different from the usual bronchiectasis, which is a state of irreversible dilation of bronchi and distortion of the bronchial wall, from other causes that develops as a direct sequel of airway inflammation. Traction bronchiectasis evolves from the fibrotic tissue pulling on the bronchi². While bronchiectasis and its cardinal HRCT (high-resolution computed tomography) features are found to have an association only with less severe airflow obstruction³, the traction bronchiectasis is unlikely to cause any airflow limitation for its pulling effect on the airways.

The abundance of literature and discussion on the presence and importance of traction bronchiectasis has overshadowed the possibility of a co-presence of airflow limitation from an obstructive airway disease (OAD) in ILD. An OAD can involve the relatively proximal airways as well as the small airways. The frequency of small airway involvement is more common in unmixed diseases of airflow obstruction as asthma and chronic obstructive pulmonary disease (COPD)⁴. Such airflow obstruction in ILD can be secondary to these coexisting OADs (asthma and COPD) or can evolve from the ILD pathobiology itself. The airflow limitation in ILD may have therapeutic or prognostic implications. CPFE (combined pulmonary fibrosis and emphysema), a recognized pattern of a mixture of ILD and emphysema (a type of COPD) has shown a relatively worse prognosis than ILD alone⁵.

The diagnosis of ILD is based primarily on clinical, physiological, morphological qualities manifested in HRCT chest, while that of OAD is spirometry-based functional elaborations^{6,7}. Hence, a spirometry-based understanding of airflow limitation in ILD may be worthwhile to unfold the presence of the concomitant airflow obstruction syndromes including asthma or COPD or overlap or airflow limitation in small airways.

Globally, the FEV1/FVC<0.7 (forwarded by the 'GOLD') is regarded as a marker of airflow limitation⁸. This criterion has been found to reveal OAD in 12.93 per cent of cases in our recent experience⁹. The FEV1 and FEF₂₅₋₇₅ are the two common parameters seen to be affected primarily in airflow limitation; the latter been claimed to reflect the airflow in small airways¹⁰. Hence, we wished to see the presence of airflow limitations in ILD using the parameters related to these two variables. The present study aimed at revealing OAD in ILD using the accepted (GOLD) criteria as FEV1/

FVC and its prospective surrogates formed of FEV1 and $\text{FEF}_{25,75}$.

Material & Methods

This study was undertaken at the department of Pleuro-parenchymal Diseases, Institute of Pulmocare and Research, Kolkata, India after clearance from the Institute Ethics Committee between September '2021 to October' 2023. Criteria for defining ILD were based on the concurrence between the independent observations of one experienced pulmonologist and a radiologist diagnosing ILD on HRCT chest. Other investigations (lung function test with assessment of hypoxemia and best possible aetiological evaluation depending on the real-world feasibility) were incorporated in the diagnostic process. All the study participants underwent spirometry (performed observing the ATS/ ERS guideline)

Study participants characteristics:

Inclusion/exclusion criteria: Individuals with ILD with age between 30-70 yr (n=235) were consecutively included in this study after obtaining a written informed consent from each. The inability to perform spirometry, the presence of any other concomitant lung disease or complications of the ILD revealed on necessary investigations (radiological, microbiological, cytological or histological) in real-world practice, and the presence of any significant systemic comorbidity that can influence the performance of spirometry according to the investigator were excluded from the study (Figure).

Study subject classification: We used the GOLD criteria (FEV1/FVC<0.7) to identify airflow limitation. When applied, it divided the population into two groups, namely (*i*) ILD 'unmixed' and (*ii*) ILD with OAD or the 'overlaps'. The two groups were compared statistically using the common spirometric variables such as FEV1, FVC, FEV1/FVC, FEF₂₅₋₇₅ and the surrogate derived from these [(%-predicted value), FEV1 to FEF₂₅₋₇₅ distance (%-predicted values), reversibility of FEV1 and FEF₂₅₋₇₅ to salbutamol inhalation (described in %-predicted values) and FEF₂₅₋₇₅ reversibility (in ml) as shown in Figure. The derived variables considered were FEF₂₅₋₇₅ reversibility and FEV1-FEF₂₅₋₇₅ distance.

Statistical analysis: Parametric un-paired *t*-test was used for variables like FEV1 and FVC, while Mann-Whitney test was used for the rest of the non-parametric

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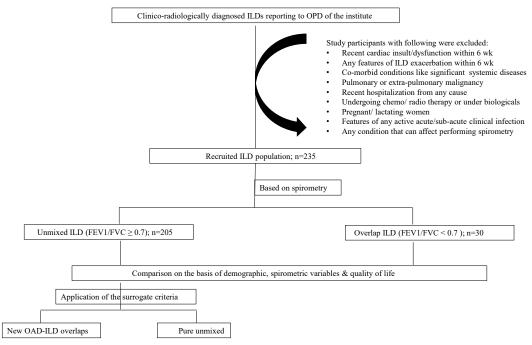


Figure. Flowchart of participants selection and research methodology.

variables. Subsequently, ROC (receiver operating characteristics) curves were drawn with all those selected parameters that showed highly significant difference (P=0.0001) between the two groups (overlap-ILD and unmixed ILD. The identification of the best discriminative parameters was attempted through calculating cut-off values for optimal specificity and sensitivity for all of them. Those chosen cut-offs were applied independently to both the groups to see their performance to unveil airflow limitation in both of these (Figure).

The sample size was checked 'post- using G power software version 3.1 incorporating the average prevalence of airflow obstruction made with different parameters from available studies. Firstly, apriori analysis was performed to generate the sample size; followed by performing the same using post-hoc analysis to achieve the power as 0.9. This revealed the sample size of 225 to yield the desired power (Supplementary Table I).

Results

Demography and exposures: A total of 235 individuals with ILD were recruited in this study. Of these 30 had FEV1/FVC<0.7 suggesting a diagnosis of OAD with ILD; the rest (n=205) were marked as unmixed ILD. The mean age of the two groups were 64.35 ± 7.65 and 61.07 ± 10.75 yr, respectively with the mean male:

female ratio being 2:1 and 1:1.05 respectively. On multinomial regression, out of all the demographic variables and exposures such as tobacco, which may influence airway obstruction, we found an adjusted odds ratio of 4.69 for the overlap-ILD group, while all other variables like age and sex had no significant contribution (Supplementary Table II and III).

Lung function and comparative analysis: The $\text{FEF}_{25.75}$ (%-predicted), $\text{FEV1-FEF}_{25.75}$ distance, FEV1 (%-predicted), and $\text{FEF}_{25.75}$ reversibility (in ml) stood out as prospective surrogates of FEV1/FVC < 0.7 (Table I) displaying significant ($P \le 0.0001$) difference between the two groups.

Of the proposed parameters, the parameters that showed a significant difference ($P \le 0.0001$) were FEV1 (%-predicted), FEF₂₅₋₇₅ (% predicted), FEV1-FEF₂₅₋₇₅ distance, and reversibility of FEF₂₅₋₇₅ (in ml) to salbutamol inhalation. Simultaneously, the frequency of FEV1 changes [asthma (≥ 200 ml+12%) and minimum perceptible difference of FEV1 (≥ 100 ml) in one participant with COPD] and FVC change (in ml and %-predicted) were recorded.

ROC curves with these selected variables (surrogates of FEV1/FVC<0.7) were used to help to find the optimal cut-off values with their corresponding sensitivity and specificity (Table II). Of these, $\text{FEF}_{25.75}$

Variables	ILD+OAD overlap (n=30)	Unmixed ILD (n=205)
Age (Mean±SD)	64.35±7.51	61.07±10.75
Sex (M:F)	20:10	100:105
FVC (%-predicted)	66.37±17.76	65.38±17.04
FEV1 (%-predicted)	49.77±16.45	$69.58{\pm}18.9^{*}$
FEF ₂₅₋₇₅ (%-predicted)	19.63±15.14	71.14±35.56*
FEV1/FVC (absolute value)	0.591±0.143	$0.839{\pm}0.072^{*}$
FEV1 - FEF ₂₅₋₇₅ distance (with %-predicted values)	26.59±16.76	$-2.14 \pm 30.18^{*}$
FEV1 reversibility (in ml)	48.41±82.37	52.52±79.79
% change FEV1	-8.22±9.18	$-3.97 \pm 5.72^*$
FEF ₂₅₋₇₅ reversibility (in ml)	35.67±136.5	244.1±395.9*
% change FEF ₂₅₋₇₅	8.65±20.5	$17.74 \pm 29.2^*$
FEV1 reversibility \geq 200ml+12%	n=2	n=8
FEV1 reversibility ≥100ml	n=7	n=29
FVC (%-change)	5.61±7.19	$1.95{\pm}5.81^{*}$
FVC reversibility (in ml)	110.7±131.9	30.53±94.41*

second, $\text{FEF}_{25.75}$, forced expiratory flow between 25 and 75% of the forced vital capacity

Table II. Comparison of ROC curve parameters among the selected spirometric parameters								
	Spirometric parameters							
	FEF ₂₅₋₇₅ (%-predicted)	FEV1-FEF ₂₅₋₇₅ distance (using %-predicted)	FEF ₂₅₋₇₅ reversibility (in ml)	FEV1 (%-predicted)				
Cut-off	>41.5	>15.5	>155.0	>54.5				
Sensitivity	79.47	73.68	59.21	78.81				
Specificity	93.33	90.0	93.33	63.33				
Positive Predictive Value (PPV)	98.36	97.39	96.77	91.53				
Negative Predictive Value (NPV)	47.54	67.5	30.33	37.25				

(%-predicted) was found to have the best sensitivity and specificity (Table II).

The performances of the surrogate parameters with respect to the identification of airflow obstruction in the initial overlap-ILD and the unmixed-ILD groups are presented in Table III. The derived cut-off value of FEF₂₅₋₇₅ (%-predicted) as 41.5 could identify 93.3 per cent of overlap cases (28 of 30) and 34 new cases of OAD in the unmixed-ICD group. The FEV1-FEF₂₅₋₇₅ distance, however, could independently identify another extra 15 individuals with OAD in the overlap-ILD group. The third parameter, FEF₂₅₋₇₅ reversibility and the fourth one [FEV1 (%-predicted)] could also independently identify 36 and seven new ILD individuals with airflow obstruction, respectively. Three out of four surrogates showed over 90 per cent

diagnostic accuracy to identify the initial overlap-ILD group with obstruction.

Discussion

Thirty out of 235 (12.76%) of the study participants (all had ILD) showed airflow obstruction according to the GOLD criterion⁸. The prospective surrogates of FEV1/FVC<0.7 were chosen using either FEV1 [%-predicted and reversibility to salbutamol inhalation], or FEF₂₅₋₇₅ [%-predicted and salbutamolreversibility] and the both as FEV1-FEF₂₅₋₇₅ distance. These surrogates have highly significant difference ($P \le 0.0001$) between the groups. ROC curves drawn with the best discriminants showed that FEF₂₅₋₇₅ (%-predicted), had an optimal sensitivity (79.47%) and good specificity (93.33%) to identify the presence of

Table III. Frequency of cases independently diagnosed in the "unmixed group" by the selected parameters									
Criterion	Cut-off values	Specificity (%)	Sensitivity (%)	ty OAD+ILD group (n=30)		Unmixed ILD (n=205)			
				No. of cases identified	Diagnostic accuracy (%)	No. of cases identified	Overlap with %-predicted FEF cut-off	Overlap with FEV1- FEF ₂₅₋₇₅ distance	No. of independent diagnosis in the 'unmixed' group
FEV1/FVC (GOLD guidelines)	<0.7	100	100	26	100	NA	NA	NA	NA
FEF ₂₅₋₇₅ (%-predicted)	>41.5	93.33	79.47	28	93.3	36		2	34
FEV1-FEF ₂₅₋₇₅ distance	>15.5	90	73.68	27	90	64	49		15
FEF ₂₅₋₇₅ reversibility	>155	59.21	93.33	27	90	62	16	20	36
FEV1 (%-predicted)	>54.5	78.81	63.33	19	63.33	31	17	7	7
FEF, forced expiratory flow; NA, not available									

airflow obstruction in ILD with a cut-off value as 41.5 (Figure, Table II). An available information showed FEF_{25-75} (<50%-predicted) correlated well with RV/TLC ratio to suggest airflow obstruction in ILD¹¹.

The FEF₂₅₋₇₅, was apparently the best-evolved surrogate of FEV1/FVC<0.7 (the GOLD narrated marker of airflow obstruction), which successfully identified airflow obstruction in about 93.3 per cent (28 of 30) of overlap ILDs and 34 out of 205 participants in unmixed- ILD group independently (Table III). The other two parameters FEV1-FEF_{25.75} distance, and FEF₂₅₋₇₅ reversibility could identify an extra 15 and 36 study participants not picked up by the FEF₂₅₋₇₅ cutoff value. Thus, altogether using the derived surrogate criteria, we diagnosed 34, 15, 36 and 7 adding up to 92 (44.87%) participants with airflow limitations in our initial 'unmixed' ILD group making the total frequency of airflow obstruction including those diagnosed by the original GOLD criterion (n=30) as 122 out of 235 (51.91%).

The surrogate parameters were mainly chosen from FEF_{25-75} that measured the expiratory airflow during the mid-expiratory phase of FVC. Since the FEF_{25-75} is thought to mirror the impairment of airflow in small airways¹², all the surrogate parameters were either FEF_{25-75} or its derivatives likely to represent the same. The involvement of FEF_{25-75} is reportedly reduced in early stages of diseases of small airways¹³ and is the

site for origin of primary airflow obstruction in many individuals of OAD (obstructive airway disease)¹⁴. Low FEF₂₅₋₇₅ in individuals with otherwise normal lung function has emerged as a useful predictor for the development of COPD¹⁵. In COPD, decreased FEF₂₅₋₇₅ (%-predicted) is observed frequently¹⁶; reflecting the impact of inflammation of small airways including remodelling as a cardinal feature of cigarette smoking induced COPD¹⁷. The reduction of FEF₂₅₋₇₅ also suggests severe form of asthma or airway disease¹⁸.

The reason for choosing the FEV1-FEF₂₅₋₇₅ distance as a surrogate has theoretical grounds. Studies have shown that FEF_{25.75} (% predicted) values <65 per cent may have clinical relevance especially when FEV1 values are normal¹⁹. A cross-sectional study involving 234 individuals with respiratory symptoms found that while FEV1 and FEV1/FVC did not predict airway hyper-reactivity, FEF₂₅₋₇₅ did²⁰. Reduced FEF₂₅₋₇₅ might precede impairment of FEV1, so indicating early asthma and poor prognosis in early asthma^{21,22}. Studies in allergic rhinitis have shown that reduced FEF₂₅₋₇₅ could be linked to bronchial hyper-reactivity23 and positive response to bronchodilator testing²⁴. People regard COPD as a disease of small airways¹¹. Thus, FEF_{25.75} can represent primarily the early change in small airways that precedes and exceeds the change in FEV1 and the parameter is thought to reflect a differentially predominant small airway obstruction in a case of OAD¹². Therefore, the FEV1-FEF_{25.75} distance is likely to increase in early airway diseases. Theoretically, for any OAD, differential regional involvement in either small (distal) and relatively large (proximal) part of the intrathoracic airways can be FEF_{25-75} and FEV1 respectively. In this study, the FEV1-FEF_{25-75} distance was studied to understand the early airflow obstruction and a predominantly small airway changes in the study participants.

We presume that FEF_{25-75} represents airflow mostly at bronchi proximal to the respiratory units to manifest reversibility as observed in our practice since for the lack of smooth muscles in the walls; the bronchioles distally should lose the potential for dynamic behaviour of bronchodilator responsiveness. The FEF₂₅₋₇₅ actually represents airflow in a region of smaller airways and not some of the exact divisions of airway ramifications. Given this, change in FEF_{25-75} should be contemplated when there is a concomitant change in FEV1 and/ or FVC. The change in FEF₂₅₋₇₅ (%-predicted) correlated well to the bronchodilator responsiveness in asthmatic children²⁴. It helps to appreciate the relevant reversible airflow obstruction²⁵. The reversibility potential of the FEF₂₅₋₇₅ was apparent in a study when the significant bronchodilator reversibility was described by PEF, FEF_{25-75} , and per cent of sGAW²⁶.

However, literature is lacking on combined asthma and ILD. Both ILD and COPD are diseases of the adulthood and late adulthood; hence, COPD is more likely the OAD in ILD. In this study, the $\text{FEF}_{25.75}$ related parameters unearthed a far higher number of airflow obstruction than those by the FEV1/FVC criterion. Particularly, participants with, reference standard of airflow obstruction as FEV1/FVC <0.7, had the statistically lesser FEF_{25.75} from those without airflow limitation (Table I). Findings of this study suggest that individuals diagnosed based on FEF₂₅₋₇₅ are likely to have a predominant small airway disease to start with as is apparent from both the initial (OAD+ILD) overlap and the unmixed-ILD group having lower FEF₂₅₋₇₅ in common. This observation also supports the concept that that FEF₂₅₋₇₅ (%-predicted) can be an earlier marker for COPD than FEV115. The impact of COPD or early COPD in individuals with ILD is hence a research issue worth exploring. We feel that the reduction of FEF_{25} (%-predicted) and other surrogate markers of FEV1/ FVC ratio (<0.7) were determinants of COPD in the study participants.

The airflow changes in ILD are mostly in the peripheral bronchial axis that includes the small airways and we have reported earlier that $\text{FEF}_{25,75}$ may

even be increased compared to FVC in ILD²⁷. In face of the interstitial fibrosis imparting traction over to the cartilage-free small airways, the $\text{FEF}_{25.75}$ change may be minimal or even reversed. Thus, a reduction in $\text{FEF}_{25.75}$ is possibly a more plausible marker of airflow obstruction in ILD. Since the chronic hypersensitivity pneumonitis (cHP) remains the frequent-most aetiology of ILD in India²⁸, it is possible that small airway involvement in ILD could have caused the airflow obstruction reflected by change in $\text{FEF}_{25.75}$ itself or the parameters derived of it.

Bronchodilator responsiveness (in terms of FEV1 reversibility) signifies a dynamic constriction of airway lumen. In our cohort, it is significantly lower in the overlap group compared to the 'unmixed' patients of ILD with the former showing more severe airflow obstruction [FEV1/FVC ratio of 41.15±211.9 vs. 57.70 \pm 80.77; P=0.04] possibly from depleted reversibility potential because of remodelling effect of OAD. The better reversibility /responsiveness of FEV1 or FEF_{25.75} in the 'unmixed' ILD patients (with preserved FEV1/FVC ratio) suggests the limitation of the FEV1/FVC as the sole criteria to understand airflow obstruction. The story signifies that the level of affection (peripheral and central), airway remodelling, and the type of affection (predominantly fibrotic or inflammatory/constrictive) concomitantly influence the status of FEF_{25-75} in ILD. The issue demands intricate research.

The presence of coexisting OAD appears therapeutically important in ILD. A FEV1-reversibility of 100-ml or the minimum clinically important difference (MCID) in COPD²⁹ may signify a positive and perceptible treatment-effect on wellbeing and the health related quality of life in COPD³⁰. Such a change in FEV1 can improve FVC that acts as prognostic marker and an end-point in therapeutic trials in ILD³¹. Incidentally, the change in FVC was higher in our original 'overlap' group (Table I).

This study was not without limitations. This was a single-centre based observation. A concomitant analysis of DLCO and lung volumes in this relatively small number of participants could possibly yield much important information. Similarly, concomitant use of impulse oscillometry could have been a good and insightful adjunct. It would have been worthwhile to look for the aetiological association in the exercise since the aetiology of ILD varies from place to place, the relative frequency of airflow obstruction in ILD can vary in different geographical areas. However, the concept will be applicable everywhere.

Overall, this study opens a new domain for consideration in ILD. The implication of the observation demands further research to guide the decision of treatment of OAD in ILD.

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