Renal expression of hypoxia inducible factor- 1α in patients with chronic kidney disease: a clinicopathologic study from nephrectomized kidneys

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Background & objectives: Hypoxia inducible factor- 1α (HIF- 1α) has been shown to play a role in the pathogenesis of renal interstitial fibrosis. However, the relationship of HIF- 1α expression intensity in human renal tissue with the degree of renal function or renal fibrosis has not been investigated. We therefore, undertook this study to assess the relationship between HIF- 1α expression and degree of renal impairment and renal fibrosis using renal tissue from nephrectomized kidneys from patients with chronic kidney disease.

Methods: This retrospective study was performed with 70 patients undergoing unilateral or bilateral nephrectomy because of renal cell carcinoma, urothelial cell carcinoma, or renal abscess. Immunohistochemical analysis of HIF-1 α expression in non-tumourous or non-abscess renal parenchyma was performed. The patients were divided into two groups: group 1 (n=37) with low intensity HIF-1 α expression and group 2 (n=33) with high intensity HIF-1 α expression.

Results: The intensity of renal HIF-1 α expression was significantly associated with serum creatinine level (*P*=0.005), estimated glomerular filtration rate (*P*=0.02), fibrosis score of the interstitium (*P*=0.004) and glomerular sclerosis (*P*=0.013). A high intensity of HIF-1 α expression tended to be associated with lower serum creatinine, higher estimated glomerular filtration rate, low interstitial fibrosis score and low glomerular sclerosis. In addition, multivariate analysis by step-wise logistic regression demonstrated that interstitial fibrosis was the only independent factor associated with the intensity of renal HIF-1 α expression (OR 4.107, CI 1.535-11.313, *P*=0.005).

Interpretation & conclusions: This study demonstrated a correlation between intensity of HIF-1 α expression and degree of renal interstitial fibrosis. The association demonstrated an elevated HIF-1 α expression in less severe kidney disease. The intensity of HIF-1 α renal expression plays a role in the pathogenesis of chronic kidney disease.

Key words Chronic kidney disease - GFR - hypoxia inducible factor- 1α - nephrectomy - renal fibrosis - renal function

¹Contributed equally to the results of this study.

Renal function impairment is associated with the degree of renal fibrosis which is closely related to renal tubulointerstitial damage, and the final common pathway of renal failure is mainly in the tubulointerstitium¹⁻³. Chronic hypoxia of the kidney has been proposed to induce tubulointerstitial injury^{4,5}. Under hypoxic conditions, hypoxia inducible factor (HIF) plays a major role in the genetic expression of hypoxic adaption in a coordinated manner by upregulating a number of genes involved in angiogenesis, erythropoiesis, and energy metabolism⁶. It also induces the hypoxia-response element for stress, and improves tissue oxygenation and cell survival in a low oxygen environment.

HIF is a heterodimeric transcription factor belonging to the basic helix-loop-helix PER-ARNT-SIM family of proteins⁷. It consists of functional α -subunits (HIF-1 α , HIF-2 α , and HIF-3 α) and a constitutive β -subunit. HIF-1 α transcriptionally upregulates a group of genes including transferrin, vascular endothelial growth factor (VEGF), glucose transporters, glycolytic pathway enzymes, insulin-like growth factor-2, endothelin-1, and inducible nitric oxide synthetase^{8,9}. HIF-1 α is predominantly expressed in tubular epithelial cells and it acts as the major regulator of hypoxic adaptation, whereas HIF-2 α is mainly expressed in renal interstitial fibroblast-like cells and endothelial cells¹⁰. HIF-2 α plays a predominant role in the regulation of erythropoietin (EPO) expression¹¹.

Activation of HIF has been proven in various kidney disease models. In these models of acute kidney injury, HIF accumulation usually occurs near the severely damaged tubules and the ability to induce HIF is inversely related to the severity of cell damage¹². VEGF, which is downstream of HIF-1 α , promotes the formation of novel capillaries, reduces renal fibrosis and stabilizes renal function¹³. Stabilization of HIF-1 α by chronic administration of cobaltous chloride ameliorated tubulointerstitial injury in a progressive Thy1 nephritis and remnant kidney model¹⁴. In addition, the expression of HIF-1 α has been suggested to promote the progression of renal disease through profibrotic effects and inflammatory processes¹⁵.

Previous studies on the role of HIF in kidney disease have mainly been animal studies^{16,17}. Studies on human renal expression of HIF-1 α have been reported in immunoglobulin A (IgA) nephropathy, polycystic kidney disease and renal allograft biopsies¹⁸⁻²⁰. Because it is difficult to obtain human renal tissue from

patients with chronic kidney disease (CKD), we used nephrectomized kidneys in this study with the aim of examining the relationship between the intensity of HIF-1 α expression and the degree of renal impairment and renal fibrosis.

Material & Methods

Study design and patients: This retrospective study was conducted in the Institute of Medicine, Chung Shan Medical University, Taichung, Taiwan. From January 2006 to January 2009, 86 patients received unilateral or bilateral nephrectomy with the causes of renal cell carcinoma (RCC), urothelial cell carcinoma (UCC) or renal abscess. None of these patients had received radiation therapy or chemotherapy before surgery. The complete medical records were reviewed and the estimated glomerular filtration rate (eGFR) was calculated by the abbreviated Modification of Diet in Renal Disease (aMDRD) formula as follows: $186 \times$ $(sCr)^{-1.145} \times (age)^{-0.203} \times (0.742 \text{ if female})^{21}$. Patients were excluded if their medical records were incomplete or their levels of serum creatinine varied more than 25 per cent from baseline values (3 months before operation). Sixteen patients were excluded and a total of seventy patients were included in this study. Renal tissue specimens were then collected. CKD was defined according to the K/DOQI guidelines with the following criteria: (i) the presence of GFR < 60 ml/min/1.73 m^2 for >3 months, with or without kidney damage; and *(ii)* the presence of structural or functional kidney damage for >3 months, with or without decreased GFR, and manifested by abnormalities in blood, urine, or imaging tests²¹. This study protocol was approved by the Institutional Review Board of Chung Shan Medical University Hospital.

Tissue processing: Pathologic material was processed for conventional histologic procedures. Representative sections were taken in the renal parenchyma at least 2 cm away from the tumour areas (in the cases with renal tumours). The formalin-fixed, paraffinembedded tissues were cut into 4 mm hematoxylinand eosin stained sections and reviewed to evaluate the glomerular, renal tubular and interstitial conditions. The scoring of fibrosis was based on Banff scoring²² for chronic lesions. The grading of chronic interstitial fibrosis and glomerular changes with quantitation was based on the percentage of cortical parenchymal involved. Interstitial fibrosis in up to 5 per cent of the cortical area was scored 0, 6-25 per cent scored 1, 26-50 per cent scored 2, and more than 50 per cent scored 3. We defined scores of 0 and 1 as low interstitial fibrosis, and scores of 2 and 3 as high interstitial fibrosis. The grading of sclerosis was as follows. No glomerulopathy, double contours in less than 10 per cent of peripheral capillary loops in the most severely affected glomerulus scored 0, double contours affecting up to 25 per cent of peripheral capillary loops in the most affected non-sclerotic glomeruli scored 1, 25-50 per cent scored 2, and more than 50 per cent scored 3. Scores of 0 and were defined 1 as low glomerular sclerosis, and scores of 2 and 3 as high glomerular sclerosis.

Analyses of $HIF-1\alpha$ expression bv immunohistochemistry: Formalin-fixed and paraffinembedded specimens were sectioned at a thickness of 3 Am. All sections were then deparaffinized in xylene, rehydrated through serial dilutions of alcohol, and washed in PBS (pH 7.2), the buffer which was used for all subsequent washes. For HIF-1 α detection, sections were heated in a microwave oven twice for 5 min in citrate buffer (pH 6.0), and then incubated with a monoclonal anti-goat HIF-1 α antibody (DAKO, Hamburg, Germany) diluted 1:2000 in citrate butter DO7; at a dilution of 1:250) for 60 min at 25°C. The conventional streptavidin peroxidase method (DAKO, LSAB Kit K675) was used to develop the signals, and the cells were counterstained with hematoxylin. Negative controls were obtained by leaving out the primary antibody. The antibody dilution buffer was used to replace antibodies to serve as a negative control.

The renal tissue was evaluated independently by three senior pathologists. The intensity of HIF-1 α expression was analyzed by immunohistochemistry. Immunoreactivity for HIF-1 α was scored based on the percentage of cells involved in the high power field (x400). A positive cell number less than 1 per cent was defined as (-), 1-10 per cent (1+), 11-50 per cent (2+), and more than 50 per cent (3+). We defined (-) and (1+) as a low expression, and (2+) and (3+) as a high expression.

Statistical analysis: Comparisons between continuous variables in non-normal distribution were analyzed by the Mann-Whitney U test, and the t test was applied for continuous variables of normal distribution. Comparisons between categorical variables were analyzed by the chi-square test. Data were expressed as mean \pm SD or percentage as needed. Multivariate analysis by logistic regression was applied to detect the

independent variables predicting the intensity of renal HIF-1 α expression.

Results

Of the 70 patients, 35 (50%) were men. The patients had a mean age of 60.6 ± 13.8 yr, BMI of 24.4 ± 4.9 kg/ m², serum creatinine of 3.0 ± 3.5 mg/dl, eGFR of 47.3 ± 30.3 ml/min, and haemoglobin of 10.3 ± 3.1 g/dl (Table I). Twelve (17.1%) and 29 (41.4%) patients had diabetes mellitus (DM) and hypertension (HTN), respectively.

Pathological assessment: The interstitial fibrosis score (IFS) was 0 in 19 (27.1%) patients, 1 in 15 (21.5%) patients, 2 in 7 (10%) patients, and 3 in 29 (41.4%) patients. Thirty four patients (48.6%) had low IFS and 36 patients (51.4%) had high IFS. The glomerular sclerosis score (GSS) was 0 in 28 (40%) patients, 1 in 12 (17.1%) patients, 2 in 13 (18.6%) patients, and 3 in 17 (24.3%) patients. Forty patients (57.1%) had low glomerular sclerosis (Table I).

Immunohistochemistry for HIF-1 α : The HIF-1 α expression was observed in the cytoplasm of tubule epithelium of the cortical area. No expression of HIF-1 α was demonstrated in the fibrotic area. A high intensity of HIF-1 α expression was predominantly observed in the cytoplasm of tubule epithelial cells in the kidneys with high eGFR and low fibrotic scores. A low intensity of HIF-1 α expression was observed in the kidneys with low eGFR and high fibrotic scores (Figure). In all specimens, the expression of HIF-1 α was 0 in 29 (41.5%) patients, 1+ in 8 (11.4%) patients, 2+ in 8 (11.4%) patients, and 3+ in 25 (35.7%) patients. The expression of HIF-1 α was low in 37 patients (52.9%) and high in 33 patients (47.1%) (Table I).

Correlation between renal HIF-1a expression and clinical characteristics: The patients were divided into two groups: those with low (n=37) or high (n=33) intensity HIF-1a expression. There were significant differences in the IFS, GSS, serum creatinine, and eGFR between these two groups. HIF-1a expression was not significantly different with age (P=0.96), body mass index (P=0.51), haemoglobin (p = 0.19), gender (P=0.473), smoking (P=0.906), diabetes mellitus (P=0.828) or hypertension (P=0.774). A high intensity of HIF-1a expression tended to be associated with a low fibrosis score in both the glomerulus (P=0.013) and interstitium (P=0.004) (Table II).

Table I. Baseline characteristics of the patient	ts (n=70)				
Variable	Value				
Age (yr)					
Mean \pm SD	60.6 ± 13.8				
(Range)	21.3-82.9				
Sex n (%)					
Male	35 (50)				
Female	35 (50)				
Body mass index (kg/m ²)					
Mean \pm SD	24.4±4.9				
Range	12.6-48.0				
Smoking n (%)					
Yes	4 (5.7)				
No	66 (94.3)				
Hypertension n (%)					
Yes	29 (41.4)				
No	41 (58.6)				
Diabetes mellitus n (%)					
Yes	12 (17.1)				
No	58 (82.9)				
Serum creatinine (mg/dl)					
Mean \pm SD	3.0±3.5				
Range	0.5-13.1				
Estimated glomerular filtration rate (ml/min)					
Mean \pm SD	47.3±30.3				
Range	3.2-126				
Haemoglobin (g/dl)					
Mean \pm SD	10.3±3.1				
Range	2.8-16.6				
Type of disease n (%)					
Renal cell carcinoma	26 (37.1)				
Urothelial carcinoma	25 (35.7)				
Abscess	19 (27.2)				
Interstitial fibrosis score n (%)					
Low	34 (48.6)				
High	36 (51.4)				
Glomerular sclerosis (%)					
Low	40 (57.1)				
High	30 (42.9)				
HIF-1α expression n (%)					
Low	37 (52.9)				
High	33 (47.1)				
Values are mean \pm SD or n (%) unless otherwise specified					

To determine which factors affected the renal expression of HIF-1 α , a forward step-wise logistic regression analysis was performed with HIF-1 α staining intensity as the categorical dependent variable, and eGFR, severity of glomerular sclerosis or interstitial fibrosis, and RCC as the independent variables. The four variables were entered into the model. Logistic regression analysis showed that IFS (OR 4.107, CI 1.535-11.313) (*P*=0.005) was the only independent predictor of HIF-1 α staining intensity (Table III).

Microenvironmental hypoxia of tumours is an important mechanism of HIF induction, and HIF-1a immunostaining is observed throughout a tumour in clear-cell renal carcinoma and haemangioblastoma²³. There was no statistically significant difference in the distribution of UCC, RCC or renal abscess between the groups with high or low HIF-1 α expression (P=0.054) (Table II). To confirm that the tumours had no impact on the HIF-1 α expression of the renal tissues adjacent to the tumours, the expressions of HIF-1 α with RCC (P=0.32), UCC (P=0.51) and their tumour T stages were analyzed, and no association was revealed. To confirm that the infections had no impact on the HIF-1 α expression of the renal tissues adjacent to the abscess, the expression of HIF-1 α with abscess or no abscess was analyzed, and no association was revealed.

Discussion

Our results demonstrated that HIF-1 α was expressed predominantly in the cytoplasm of tubular epithelium in the kidneys with better renal function and less fibrosis. The expression of HIF-1 α was decreased in the kidneys with higher fibrosis and lower eGFR. A high fibrosis score of the interstitium was consistently associated with a decreased expression of HIF-1 α . An elevated HIF-1 α expression was found in less severe kidney disease.

CKD typically displays loss of peritubular capillaries in areas of tubulointerstitial fibrosis. Extensive tubulointerstitial injury results in decreasing capillary blood supply and hypoxia in the region^{20,24}. Hypoxia may initiate the development and progression of renal disease, but the molecular mechanism remains unclear. Yuan *et al*²⁵ found that loss of HIF-1 α favours progression of interstitial fibrosis.

HIF activity is primarily regulated by oxygendependent proteasomal degradation of the α -subunit. Under normoxic conditions, the α -subunit is



Fig. HIF-1 α expression in the epithelium of cortical tubules. (a). Interstitial fibrosis score 3, glomerulus fibrosis score 3, eGFR 7.1 ml/min: HIF-1 α expression 0. (b). Interstitial fibrosis score 3, glomerulus fibrosis score 1, eGFR 38.2 ml/min: HIF-1 α expression 1. (c). Interstitial fibrosis score 0, eGFR 50.1 ml/min: HIF-1 α expression 2. (d). Interstitial fibrosis score 0, glomerulus fibrosis score 0, glomerulus fibrosis score 0, glomerulus fibrosis score 0, eGFR 101.4 ml/min: HIF-1 α expression 3. The magnification for Fig. is 40x and the insets in Fig. a, b, c and d mean higher magnification of corctical tubules with HIF-1 α expression (400x).

hydroxylated by HIF prolyl-hydroxylases that marks HIF as a target for von Hippel-Lindau (VHL) E3 ubiquitin ligase leading to proteasomal degradation. At low oxygen tension or in the absence of von Hippel-Lindau E3 ubiquitin ligase, HIF- α escapes degradation and heterodimerizes with HIF- β . The heterodimer then binds to the transcriptional coactivator CBP/p300. Besides hypoxia, several other co-regulators including reactive oxygen species, ascorbate, succinate, fumarate or NO, and acetyltransferase ARD1 have been described recently²⁶. In the cells with deficient or aberrant VHL protein, HIF- α escapes degradation and accumulates, binding to HIF- β^{27} .

HIF-1 α stimulates the expression of vasculogenic genes such as EPO and VEGF to maintain oxygen delivery and to protect cells from ischaemia. HIF-1 α exerts a beneficial effect on renal tissues⁴. At the same time, HIF-1 α also induces expression of profibrogenic genes such as tissue inhibitor of metalloproteinase 1 (TIMP1), connective tissue growth factor (CTGF), and plasminogen activator inhibitor 1. HIF-1 α accelerates tissue fibrosis by upregulating the profibrogenic factors²⁸.

HIF-1 α has been reported to play a role in kidney protection. In the remnant kidney rat model of systemic and glomerular hypertension, the kidney presents with increased renin-angiotensin activity-related glomerular sclerosis and hypocellular tubulointerstitial fibrosis. The cobalt treated group, in which the HIF-1 α expression can be stabilized, showed lower scores of tubulointerstitial injury meaning that HIF-1 α plays a role in tubulointerstitial protection¹⁴. In a rat model of obese and hypertensive type 2 diabetes metabolic diseases, Ohtomo *et al*²⁹ reported that upregulation of HIF reduced proteinuria and histological kidney injury.

Table II. Comparison of the low and high expressions of hypoxia-inducible factor- 1α						
Characteristic	HIF-1a expression					
	Low (n=37)	High (n=33)	P value			
Age (yr)	60.7 ± 13.0	60.5 ± 14.9	0.96			
Serum creatinine (mg/dl)	3.8 ± 3.8	2.0 ± 2.8	0.005			
Estimated GFR (ml/min)	37.6 ± 30.9	54.4 ± 31.0	0.02			
Body mass index (kg/m ²)	24.0 ± 3.9	24.9 ± 5.9	0.51			
Haemoglobin (g/dl)	9.9 ± 3.2	10.8 ± 3.0	0.19			
Gender n (%)			0.473			
Male	20 (57.1)	15 (42.9)				
Female	17 (48.6)	18 (51.4)				
Smoking n (%)			0.906			
No	35 (53)	31 (47)				
Yes	2 (50)	2 (50)				
Diabetic Mellitus n (%)			0.828			
No	31 (53.4)	27 (46.6)				
Yes	6 (50)	6 (50)				
Hypertension n (%)			0.774			
No	21 (51.2)	20 (48.8)				
Yes	16 (55.2)	13 (44.8)				
Interstitial fibrosis score n (%)			0.004			
Low	12 (35.3)	22 (64.7)				
High	25 (69.4)	11 (30.6)				
Glomerulus sclerosis n (%)			0.013			
Low	16 (40)	24 (60)				
High	21 (70)	9 (30)				
Diagnosis n (%)			0.054			
Abscess	13 (68.4)	6 (31.6)	0.11			
Non-abscess	24 (47)	27 (53)				
Renal cell carcinoma	9 (34.6)	17 (65.4)				
Pathological T stage, n			0.32			
Т0-Т1	4	11				
T2-T3	5	6				
Urothelial carcinoma	15 (60)	10 (40)				
Pathological T stage, n			0.51			
Т0-Т1	8	4				
Т2-Т3	7	6				
Values are mean \pm SD or n (%) <i>P</i> values were determined using the χ^2 test. <i>P</i> <0.05 indicates sta	tistical significance					

Table III. Multivariate model results: independent predictors of HIF-1a staining intensity estimated according to step-wise multivariate	
of logistic regression	

	Variable	В	S.E.	Wald	Df	Sig.	Exp(B)	95% C.I. for OR	
Step 1 ^a	Interstitial Fibrosis Score	1.427	.510	7.842	1	.005	4.167	1.535	11.313

^aVariable entered on step 1: Interstitial fibrosis score

P<0.05 indicates statistical significance.

The forward step-wise logistic regression analysis was applied. HIF-1 α staining intensity was the dependent variable and interstitial fibrosis score, glomerular sclerosis (*P*=0.67), or estimated glomerular filtration rate (*P*=0.30) and renal cell carcinoma (*P*=0.33) were the independent variables. The results demonstrated that interstitial fibrosis score was the only factor associated with HIF-1 α expression (*P*=0.005)

Increased HIF-1 α expression has been reported in biopsies of human renal tissue from chronic allograft nephropathy¹⁸ and IgA nephropathy²⁰. In human renal allograft biopsies¹⁸, abundant HIF-1 α expression is present and correlates with a cold ischaemic time of more than 15 h and/or functioning grafts with an age of more than 50 years. A low HIF-1 α score correlates with primary non-function, likely reflecting a loss of oxygen consumption for tubular transport²³. The renal biopsy specimens from 23 patients with IgA nephropathy were classified according to interstitial injury score: grade 0 (0%), grade 1 (1-25%), grade 2 (26-50%), and grade 3 (51-100%)²⁰. In tubular epithelium, HIF- 1α was weakly expressed in grade 0, with increased progression in grade 2, but a marked decrease in grade 3. HIF-1 α expression was strong in tubular epithelium but negative in glomerular cells. A decreased intensity of HIF-1 α expression in the fibrotic renal tissues but not in the normal parts was found in this study. The reason for the loss of HIF-1 α expression in fibrotic kidneys may result from the loss of oxygen consumption in advanced interstitial tubulopathy, as there was an inverse correlation between HIF-1 α intensity and the severity of interstitial fibrosis in this study.

HIF is activated in response to hypoxic renal injury. An increased HIF expression has been shown in biopsies from patients with diabetic nephropathy, IgA glomerulonephritis and chronic allograft nephropathy. The degree of HIF expression correlates with the extent of tubular injury. One target gene for HIF is profibrotic connective tissue growth factor (CTGF)³⁰. A stable expression of HIF-1 α in tubular epithelial cells promotes interstitial fibrosis²⁸.

It is unclear whether an increased expression of HIF leads to a stabilization of the disease process and thus is nephroprotective or contributes to interstitial fibrosis²⁷. Our finding that HIF expression was inversely

associated with fibrosis is contrary to most of the published reports, which suggest that HIF expression is a promoter of fibrosis. Several experimental and human studies have shown this, especially through epithelial mesenchymal transition (EMT). One possible explanation could be that upregulation occurs in the initial stages when EMT is actively taking place, but shuts off once the fibrosis is fully established. Whether the increased activity of HIF is beneficial or harmful is unclear, it may depend on the underling disease and the duration of HIF expression²⁷.

Our findings showed that HIF-1 α expression was inversely associated with fibrosis and eGFR. Short-term HIF-1 α expression may be beneficial, but prolonged HIF-1 α activation may be pro-fibrotic. An elevated HIF-1 α expression is protective or elevated in early CKD when active tissue damage is ongoing. Once fibrosis is advanced in the later stages of CKD, the disease burns out resulting in a lower HIF-1 α expression.

Overexpression of HIF-1 α has been demonstrated in multiple types of human cancer^{31,32}. Although we selected renal tissues at least 2 cm away from the tumor areas to prevent the influence of UCC or RCC on the expression of adjacent renal tissue HIF-1 α , we found that the presentation of low or high HIF-1 α expressions in RCC, UCC or renal abscess was with borderline significance (*P*=0.054). This is partly because UCC is the most common malignancy in dialysis patients in Taiwan, and chronic tubulointerstitial nephritis is the most likely underlying disease in haemodialysis patients with UCC³³.

The limitations of the study include the retrospective nature, cross-sectional characteristics and that the underlying causes of chronic kidney disease were incomplete. Though the renal parenchyma were sampled 2 cm away from the malignancy, the possibility

of molecular events occurring there could not be ruled out.

In conclusion, our results demonstrated that the renal expression of HIF-1 α was inversely associated with interstitial fibrosis in human renal tissues. The molecular basis needs further studies to clarify.

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